

Enterprise Infrastructure Asset Management (EIAMS)

52nd Annual Association of Conservation Engineers Conference September 18, 2013 by Howard Thomas, P.E. and Alicia J. Weaver, PMP

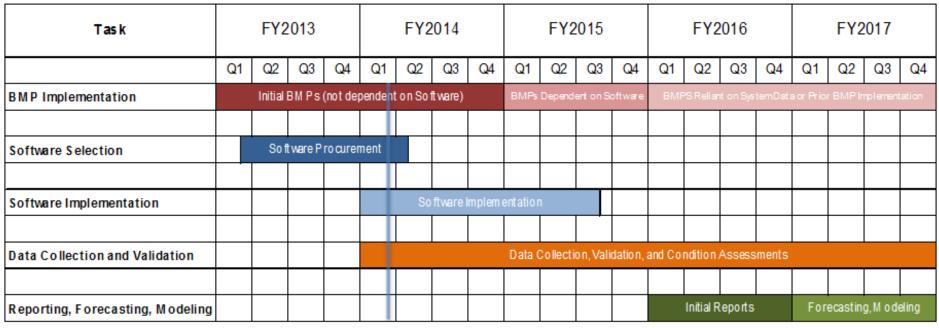


MDC's Design and Development Division
Putting conservation on the ground and connecting people with nature.

EIAMS - Project Key Concepts

- MDC's infrastructure assets estimated replacement value is approximately \$1.6 billion.
- The objective of infrastructure asset management is to meet a required level of service, in the most cost effective manner, through the management of assets for present and future customers.
- MDC does not have all the information that its decision makers need to effectively allocate construction and maintenance dollars and time between competing infrastructure needs at its many areas.
- The department needs better information to balance infrastructure and operational expenditures.
- Regulatory and audit requirements (GASB34)

EIAMS Five Year Implementation Plan



09/04/2013

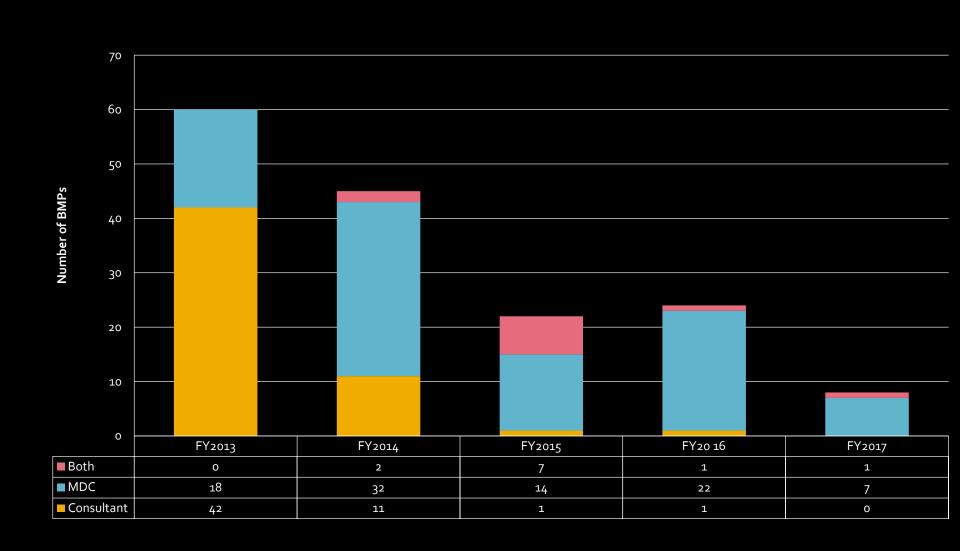
Note: The software implementation plan is dependent on software vendor selection and the vendor's implementation schedule.

Revision Date: 9/4/13

FY2013:

- Completed request for proposal (RFP)
- Established draft asset management policy, and recommended guidelines
- Began Implementation of software independent best management practices (BMPs)
- Evaluated asset management / computerized maintenance management system (AM/CMMS)
 RFP vendor responses

Best Management Practices (BMPs) Resource Types by Year



FY2014:

- Select and implement AM/CMMS solution
- Complete implementation of software independent BMPs
- Begin implementation of software dependent BMPs
- Establish business processes and procedures for data collection and inventory, condition assessment, maintenance requirements, frequencies, and work assignment
- Begin infrastructure asset data collection, validation and condition assessments

FY2015:

- Full Implementation of AM/CMMS Solution
- Complete implementation of software dependent BMPs
- Develop condition assessment and maintenance programs
- Draft the initial reporting procedures

FY2016:

- Continue asset data collection, validation and condition assessments
- Implement BMPs dependent on system data availability
- Draft and initiate procedures for reporting and forecasting

FY2017: (and beyond!)

- Continue asset data collection, validation and condition assessments
- Implement advanced reporting, forecasting and modeling procedures
- Complete BMPs related to full development of asset management program

150 BMPs in 15 Categories

- Administrative Business Process
- Planning
- Design
- Construction Asset Acquisition
- Construction
- Decommissioning
- Maintenance Organization
- Maintenance Management Administration
- CMMS Administration
- Maintenance Management
- Infrastructure Maintenance and Repair
- Operating Budget
- Capital Budgeting
- Reporting Requirements
- Business Practices / CAD

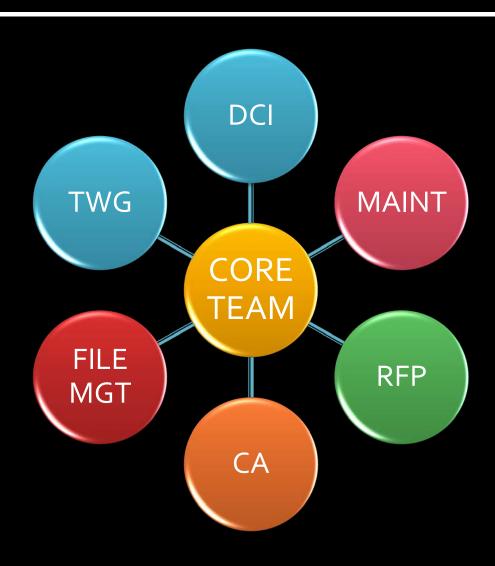
BMP – 58 Condition Assessment

- Infrastructure Maintenance and Repair
- 58. The condition of specific infrastructure assets are periodically inspected with at least once every 3 years (GASB) condition assessments.
- Condition assessments should be utilized to make "eyes on the ground" adjustments to estimated remaining useful life of major components.

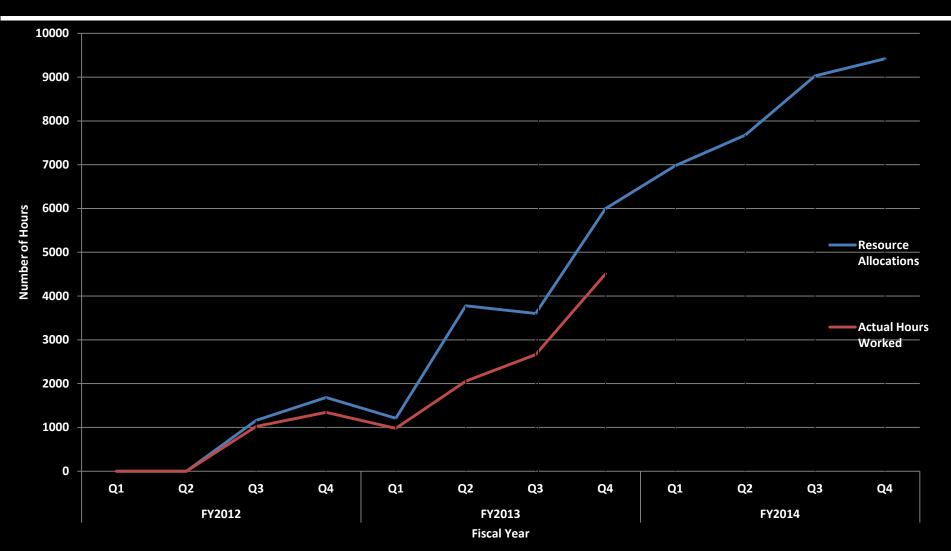
BMP – 58 Condition Assessment

- D&D Management & Area/Site/Facility
 Managers
- Phase in as assets added to system.
- Matrix derived criteria from various facility maintenance resources, recommended managerial practices, and prior experience with other clients.

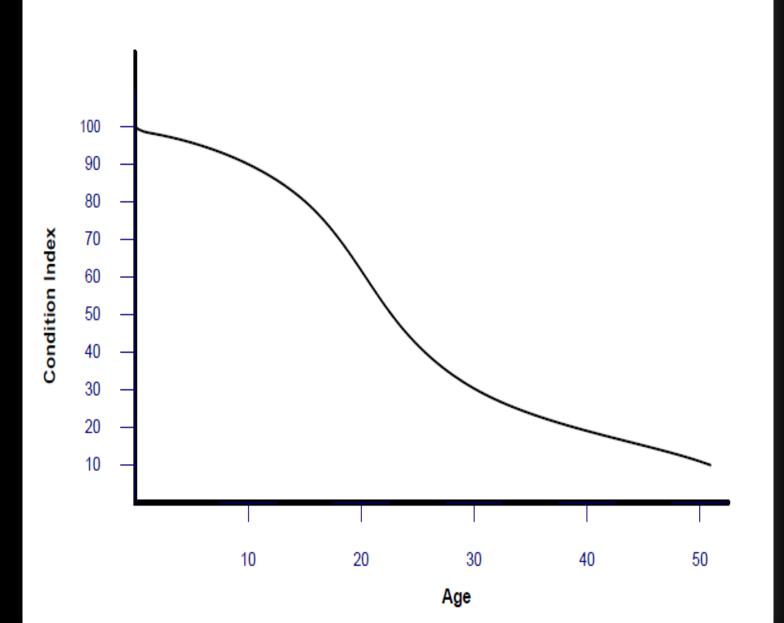
EIAMS TEAMS



Resource Allocation and Actual Hours Worked

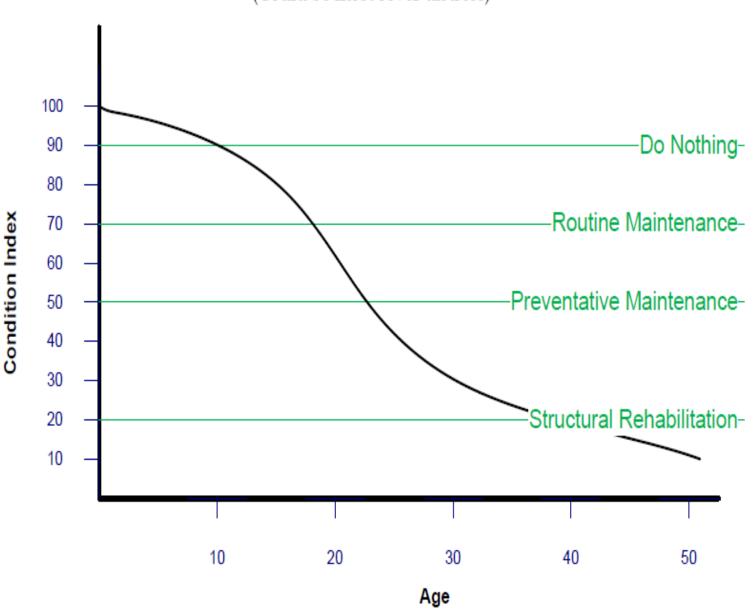


Theory behind Performance Curves

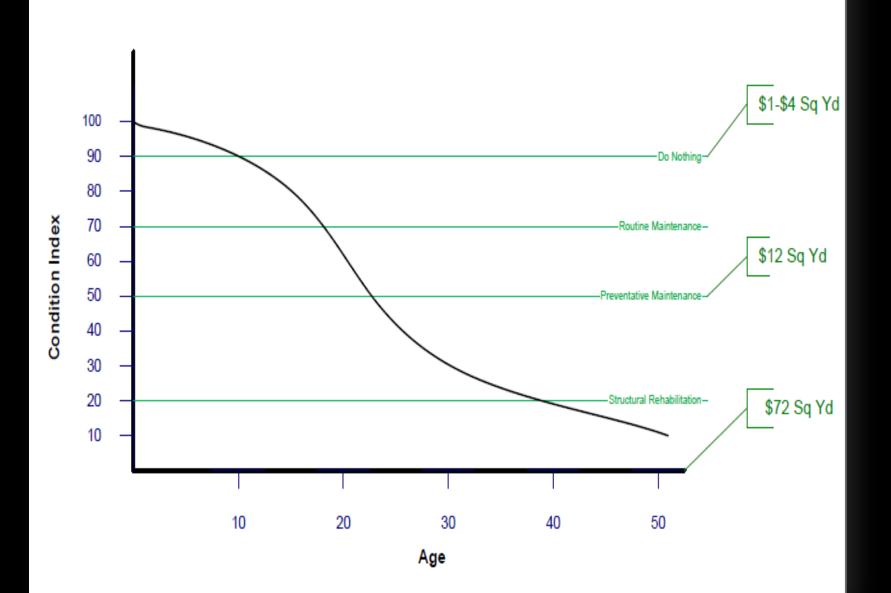


Treatment Bands

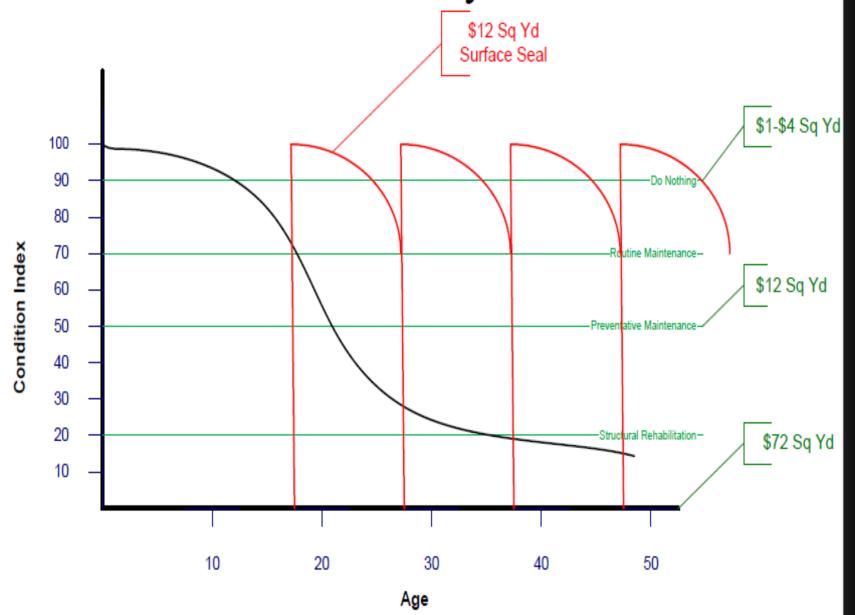
(Could be most sever distress)



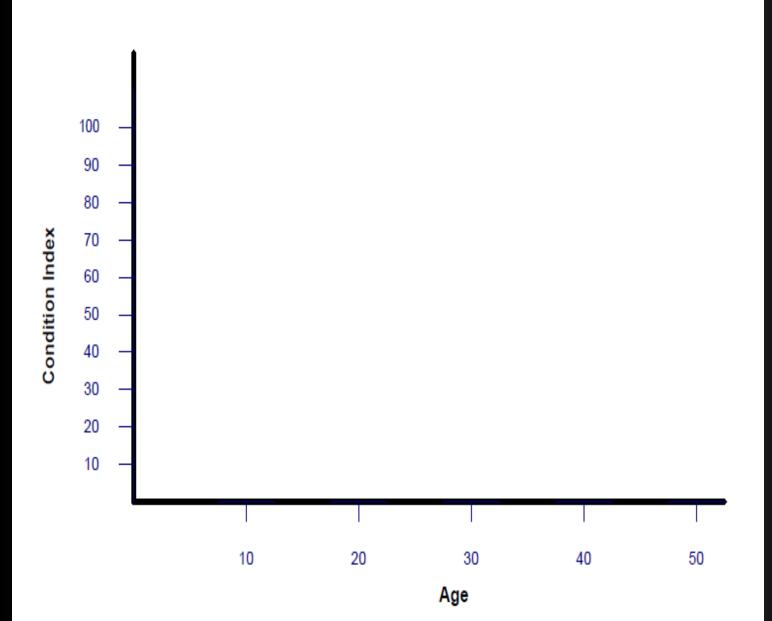
Treatment Band Cost Range



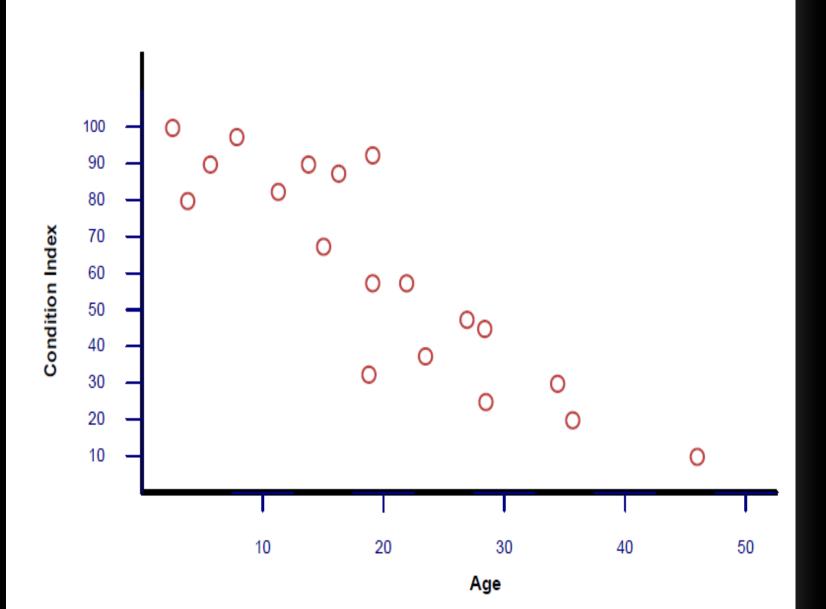
Reduce Life Cycle Costs



Creating a Performance Curves

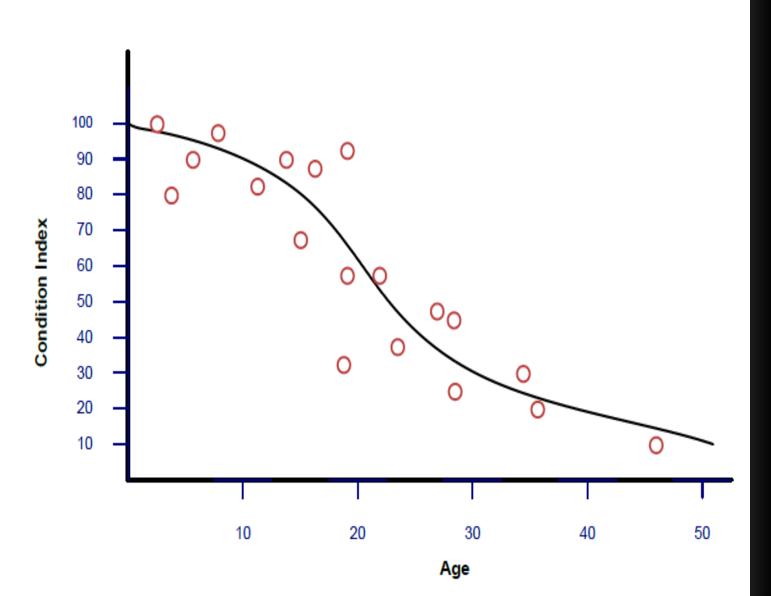


Creating a Performance Curve



Creating a Performance Curve

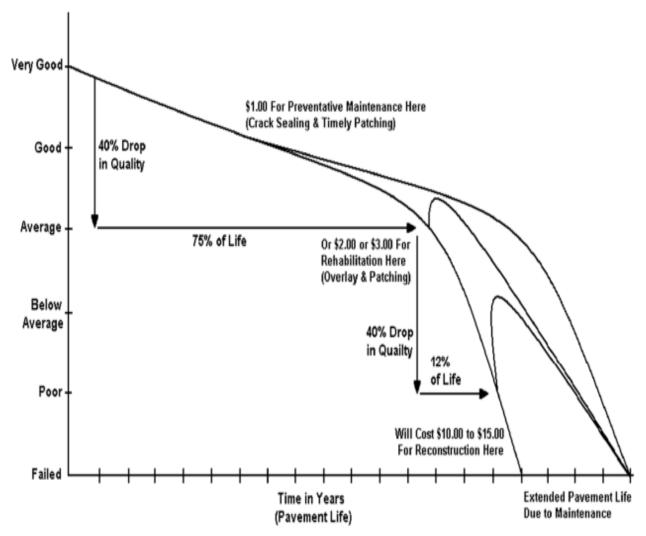
Generating a curve from median condition based on age





WEST DES MOINES PAVEMENT MANAGEMENT SYSTEM

How Proactive Maintenance Extends Pavement Life

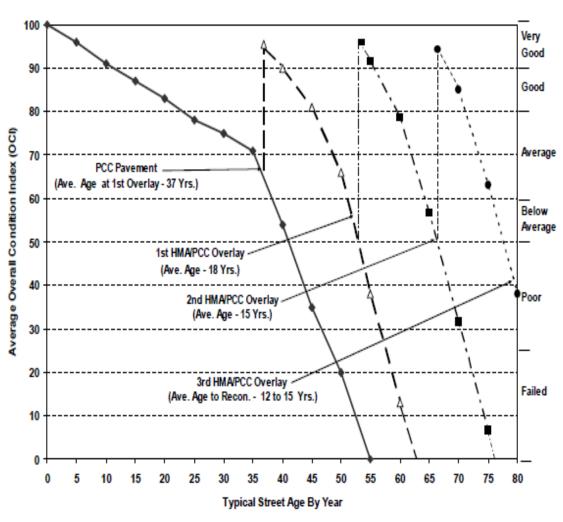


^{*} Based on a graph from U.S. Army Corp of Engineers



WEST DES MOINES PAVEMENT MANAGEMENT SYSTEM Determining Future Work with Pavement Curves

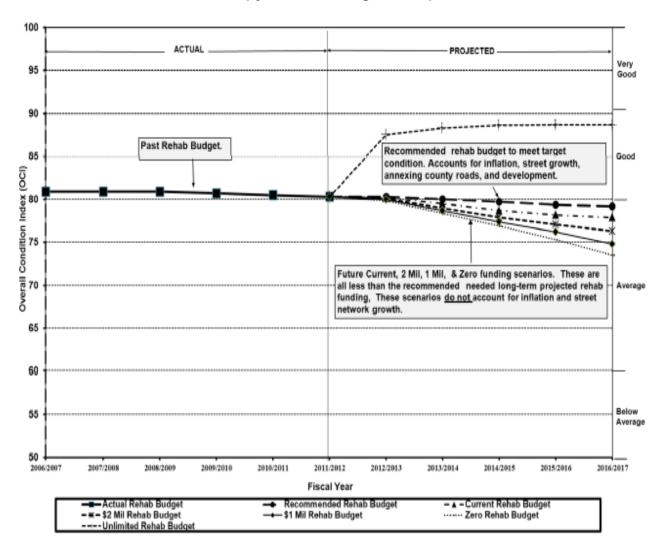
West Des Moines PCC Pavement and HMA/PCC Perfomance Curves
(Based on Typical Street Conditions)
(Typically PCC Streets Can be Overlayed 2 or 3 times Before Reconstruction)





WEST DES MOINES PAVEMENT MANAGEMENT SYSTEM Future OCI By Different Budget Scenarios

The City's Projected Street Network Condition Per Year (By Different Rehab Budget Scenarios)



Department Wide Involvement

Enterprise Infrastructure Asset Management System (EIAMS) Project Pre-Implementation Phase

1.1 Team Assignments

The following individuals will participate as EIAMS team members. Each member's time commitment to this project is indicated as a percentage of time available over the duration of the project (4/16/13 – 12/31/13). Shaded resources are in the process of being verified.

ROLE	ASSIGNED	% AVAILABLE
Sponsor	Jacob Careaga	25%
Steering Committee – Design and Development	vacob careaga	2570
Lead Subject Matter Expert (SME) - Executive		
Sponsor Delegate	Alicia Weaver	40%
Lead SME - Technical		
D&D Program Manager		
Steering Committee – Administrative Services	Carter Campbell	2%
Steering Committee – Fisheries	Chris Vitello	2%
Lead SME – Technical	Josh Jacomb	50%
Lead SME – Operational	Jeff Leftwich	25%
	Howard Thomas	
Dedicated Operational SME – Data Collection	Don Arnold	50%
and Inventory	Jim Aslakson	25%
	Steve Atkinson	50%
	Jeff Krumm	50%
	Linda Logan	75%
	Kent Fischer	25%
Dedicated Operational SME – Condition	Kenny Poore	5%
Assessment	Justin Fessler	25%
	Richard Grishow	
	David Posten	
	Mattheuw Prenger Ronnie Thurston	
Dadicated Occastional SME Maintanana	Bob Ewigman John Hinkle	15%
Dedicated Operational SME – Maintenance Roles and Frequencies	Eric McMillan	10%
Roles and Frequencies	Ralph Adams	15%
	Mike Norris	25%
	Blaine Adams	23/0
	Mitch Strother	
	Tim James	
	Nathan Woodland	
	Joe Garvey	
	I	

Enterprise Infrastructure Asset Management System (EIAMS) Project Pre-Implementation Phase

DOLF.	ACCICNED	% AVAILABLE
ROLE SME – Superintendents	ASSIGNED Fred Craig	10%
SWE - Superintendents	Michael Smith	5%
SME – Design Professionals	Dale Parsons	5%
SIME - Design Professionals	Bill Scheperle	376
SME – CAD Services	Jack Quade	5%
SME - Survey Superintendent	Don Martin	5%
SME – Realty	Robyn Hilliard	5%
SME – Financial Services - CFO	Margie Mueller	2%
SME – Financial Services	Melanie Crane	5%
SME – Fleet Services	Jeff Arnold	5%
SME – HR	Glenda Verslues	2%
SME – Hatchery Manager - Fisheries	Rich Cook	5%
SIVIL - Hatchery Manager - Histlenes	James Civiello	376
	Clint Hale	
SME – Facilities Maintenance	Jerry Beckett	5%
SME – Nature Centers	Linda Chorice	5%
SME – Outdoor Education Center	Eric Edwards	5%
SME – Federal Aid Coordinator	Doyle Brown	5%
SME - Forestry	Rich Blatz	5%
SME - Protection	Randy Doman	2%
SME - Policy Coordination - Resource Policies	Amy Buechler	5%
SME – Administrative Services – Business	Dee Cook	5%
Policies		
SME – GIS – Columbia	Craig Scroggins	5%
Matrix Group Business Expert (BE)	Alan Pennington	40%
RKV Project Manager	Suzanne Carlisle	100%
RKV Business Analyst (BA)	Cheryl Schroeder	100%
RKV Business Analyst (BA)	Ricky Nix	45%
Information Technology (IT) Program Manager	Lisa Lucas	5%
IT Application Development (AD) Lead	Kirk Keller 4/16-5/3	5%
	Joe Martin 5/3-12/31	
IT Infrastructure Lead	Todd Holt	5%
IT BA	Todd Hart	10%
Geographic Information Systems (GIS) Lead	Chris Wieberg	10%
Database Lead (DBA)	Fran Bock	5%
Technical Writer	TBD	TBD%

EIAM DCI Team Accomplishments

- Deliverables 4/16/13 8/30/13
- Document Existing Data Sources
- Establish Asset Hierarchy (Network / System / Subsystem)
- Document Key Data Attributes for Asset Types
- Develop Documents to Support Future Data Processes
 - Checklists
 - Worksheets
 - Requirements for Interim Data Storage Location
- Establish Data Collection and Inventory Guide Template with Descriptions
- Establish Draft Data Collection and Inventory Guide (DCI)
- Establish Collection Plan Framework (Template)
- Establish Collection Plan for Runge Nature Center
- Establish Pre-Pilot Training and Test Plan for Runge Nature Center
- Perform Pre-Pilot Training for Runge Nature Center Test Plan In Progress

EIAM CA Team Accomplishments

- Deliverables 4/16/13 8/30/13
- Establish Condition Assessment Ratings by Asset Type
- Establish Group 1 Condition Assessment Simple Ratings
- Establish Group 2 Condition Assessment Detailed Ratings (Asset Specific)
- Establish Group 3 Condition Assessment Specialized Ratings
- Establish Condition Assessment Procedure Template with Descrip
- Establish Condition Assessment Training Procedure Guide
- Update Condition Assessment Training Procedure Guide In Prog
- Perform Runge Pre-Pilot Test In Progress

EIAM Maint. Team Accomplishments

- Deliverables 4/16/13 8/30/13
- Establish guidance document for roles and responsibilities
- Establish Maintenance Task Roles
- Establish Maintenance Task Frequencies
- Establish Maintenance Task Roles / Frequencies for Assets in Hierarchy – In Progress

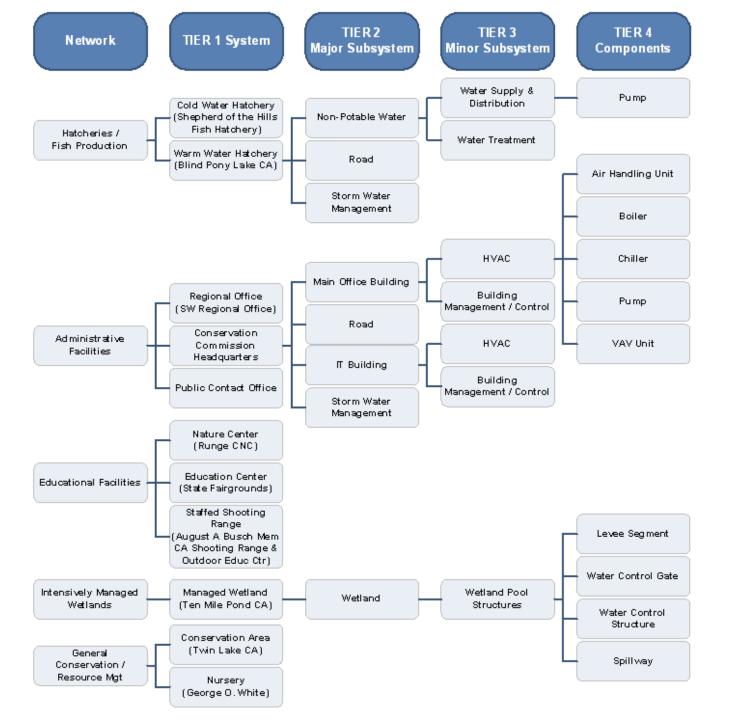
EIAM File MGT Team Accomplishments

- Deliverables 7/3/13 8/30/13
- Establish File Management Procedures
- Develop Evaluation Criteria
- Develop Training Materials In Progress
- Locating Existing Data Sources
 File Management Phase 1 Areas In Progress
- Identify Missing Data Sources for File Management Phase 1 Areas – In Progress

Enterprise Infrastructure Asset Management System (EIAMS) Project Data Collection & Inventory (DCI) Guide

3) Asset Hierarchy

The EIAMS is built around a four-tier asset hierarchy used to categorize the Department's assets. It works like a "taxonomy classification" that associates services, physical location, and functions. At the beginning of the hierarchy are five networks. Following the networks are four tiers that form a tree structure. An asset is defined by its location in the hierarchy. For example, a Water Supply & Distribution minor subsystem can fall under multiple Tier 2 major subsystems: Non-Potable Water and Potable Water. Similarly, a pump can fall under multiple Tier 3 minor subsystems: Water Supply & Distribution and HVAC. The attributes, maintenance tasks, and other information in the EIAMS can vary depending on where the asset falls in the hierarchy. The figure below provides a sampling of the Asset Hierarchy.



Tier 2 Major Subsystem Non-Potable Water

Tier 2 Major Subsystem Potable Water

Tier 2 Major Subsystem Wetland

Tier 3 Minor Subsystem

Wetland Pool Structures

Tier 4 Components:

Water Control Structure

Water Control Gate

Levee Segment

Pipe Segment

Culvert

Spillway

asset)

Ditch

Tier 3 Minor Subsystem Water Supply & Distribution

Tier 4 Components:

Well

Motor Controls (aka Pump Controls)

Variable Frequency Drive

Phase Converter

Pump

Pump Structure

Valve

Valve Structure

Intake Screen

Water Control Gate

Water Control Structure

Head Tank

Pipe Segment-Service (GIS only—not an asset)

Pipe Segment-Main

Diesel Power Unit

Hydrant

Ditch Culvert

Irrigation Equipment

Tier 3 Minor Subsystem Water Treatment

Tier 4 Components:

Filter

Ultra Violet Disinfection Unit

Bulk Oxygen Tank

Oxygen Distribution System (GIS only—not an asset)

Oxygenator

Aeration Stack

Blower

Low Pressure Compressed Air Network (GIS only—not

an asset)

Boiler

Chiller

Heat Pump

Solar Pond

Variable Frequency Drive

Phase Converter

Tier 3 Minor Subsystem Water Supply & Distribution

Tier 4 Components:

Well

Variable Frequency Drive

Pump

Motor Controls (aka Pump

Controls)

Valve (GIS only—not an asset)

Pipe Segment-Service (GIS

only-not an asset)

Pipe Segment-Main (GIS only not an asset)

Hydrant

Meter

Fire Department Connection

Tier 3 Minor Subsystem <u>Monitoring / Control</u>

Agri-drain (GIS only — not an

Tier 4 Components:

Sensor

Tier 2 Major Subsystem
Observation

Observation Structures

Tier 3 Minor Subsystem

Tier 4 Components:

Platform Blind

Tower

Tier 3 Minor Subsystem Water Treatment

Tier 4 Components:

Chlorination System Pressure Tank

Asset Worksheet

Tier 2 Major Subsystem	Tier 3 Minor Subsystem	Tie	er 4 Component
	☐ Cable-Based Communication Netw	vork	
	☐ Two-Way Radio		
			Tower
☐ Exhibit Subsystem			
	☐ Aquarium		
			Aquarium Structure
			Bio Tower
			Filtration System
			Pump
			Temperature Control Equipment
			Ultra Violet Disinfection Unit
	☐ Exhibits		
☐ Exterior Electrical Subsystem			
	☐ Electrical		
			Exterior Lighting
			Generator
			Phase Converter
			Resistive Load Bank
			Transfer Switch
			Transformer (MDC Owned)
			Wind Generator
☐ Fish Production Subsystem			
	☐ Effluent Managem	ient	
			Pipe Segment-Main
			Pollution Control Pond
			Water Control Gate
			Water Control Structure
	☐ Feeding		
			Bulk Feed Storage Bin
			Cooler / Freezer
			Fish Feeding System
	☐ Indoor Production		
DRAFT		Page 3	Revision Date: 9/4/13

Asset Worksheet

Tier 2 Major	Tier 3 Minor	
Subsystem	Subsystem	er 4 Component
		Egg Jar System
		Production Tank
		Raceway
	☐ Monitoring / Control	
		Sensor
	□ Outdoor Production	
		Fish Ladder
		Kettle
		Pond Liner
		Production Pond
		Raceway
☐ Fishing & Boating Access Subsystem		
	□ Access Features	
		Boat Ramp
		Boat Slide
		Buoys
		Dock
		Fish Cleaning Station
		Fishing Platform
		Jetty
☐ Flood Protection Subsystem		
	☐ Levee Features	
		Culvert
		Diesel Power Unit
		Levee Segment
		Pipe Segment
		Pump
		Pump Structure
		Spillway
		Water Control Gate
		Water Control Structure
☐ Hazardous Material Subsystem		

1. Introduction

The Missouri Department of Conservation (MDC) is modernizing its asset management program to more effectively manage infrastructure asset lifecycles and make better informed decisions regarding how future investments of funds can have the greatest impact on service delivery.

An important part of effectively managing infrastructure assets is to ensure the Department utilizes a consistent approach to conducting and applying condition assessments on all infrastructure assets that are in compliance with the requirements outlined in the GASB Statement 34. Utilizing a consistent methodology to assess and record the condition of the Department's assets provides critical data for decision making, both on a project and system level. To address this need, these training procedures have been developed to assist in the consistent evaluation of the assets in the field.

This document is organized by rating scale type. Within each rating scale type, the assets for which its condition will be assessed utilizing the scale type are listed alphabetically. For ease of use, an alphabetical listing of all assets, their corresponding rating scale and document section can be found in Appendix A.

These training procedures consist of specific guidance on rating assets using one of the following three scale types.

- Simplified Rating Scale
- Asset Specific Rating Scale
- Specialized Rating Scale

Additional information related to the condition assessment of the assets included in this section can be found in the CA Consolidated Results Excel spreadsheet, including:

- Who conducts the condition assessment
- Frequency the condition assessment is to conducted
- · Equipment required to conduct assessment
- · Training required to perform assessment
- Time estimate to complete actual condition assessment in field
- Total estimate to conduct condition assessment (Pre and Post field).

Key Questions to Relate Simplified and Asset Specific rating scale

- What is the age of the asset?
- Are replacement parts available? Are they hard to obtain?
- Does the asset qualify for efficiency Upgrade? Is the cost of operation comparable to new equipment?
- What is the maintenance activity on the Asset? Do you have maintenance records? Has scheduled maintenance been performed on this asset if applicable? Does the maintenance that has been performed on the asset more frequent than the normal scheduled routine maintenance?

2. Simplified Rating Scale

The Simplified Rating Scale demonstrates the basic approach that should be utilized when more defined rating elements are not required.

Rating	Description
5	As New or Very Good Condition: The asset has:
	Only the prescribed, scheduled routine preventive maintenance required
	Shows no appreciable signs of deterioration
	Fully provides the services intended
	Within first 1/3 of expected life
	 There are no issues with obtaining replacement parts or performing service or maintenance function
	Cost of operation comparable to new equipment
4	Good condition: The asset has:
	Minor deterioration, but no problems providing the intended service
	No rectification or repairs required to satisfy elemental function of the asset
	 Minor maintenance required plus the prescribed, scheduled routine preventive maintenance
	Within first 2/3 of expected life
	 There are no issues with obtaining replacement parts or performing service or maintenance function
	Cost of operation comparable to new equipment
3	Fair or Moderate Condition: The asset has:
	 Significant or continuous non-scheduled maintenance required to maintain service
	Detectable damage, but it is still working and providing the intended service (not failed)
	 Shown signs of defects but still supports the required function of the asset and it provides the intend.
	service
	 Identified future major repairs or component replacement projected to be needed within the next 4
	years
	 There are no issues with obtaining replacement parts or performing service or maintenance function
	Not exceeded its expected life by more than 10%
	 Cost of operation is greater than new equipment, but cost savings of replacement does not just
	replacement with new equipment
2	Poor condition: The asset has:
	 A significant renewal/upgrade/replacement projected to be needed within the next 1-3 years to maint
	service
	Projected as being within 1-3 years of needing complete replacement
	Projected to be near failure, but serviceable condition is still retrievable with repair, renewal or part
	replacement
	Repair (rather than replacement) is an option that is still economically viable and possible. Repair m include and include and include and possible.
	include partial replacement as an option to achieve
	 Started having issues with obtaining replacement parts or performing service or maintenance functio Significantly higher operation costs than upgraded asset so replacement could be justified by lifecycen
	cost savings
1	Very Poor Condition or Failed: The asset has reached a condition where:
	There are safety issues that need to be addressed before the asset is placed back into service or to
	asset is unserviceable and complete replacement or disposal is required now
	Repairs need to be made to address safety concerns or repairs are not economically viable (or possit).
	Cannot obtain replacement parts or cannot perform service or maintenance functions
	Significantly higher operation costs than upgraded asset so replacement is justified by lifecycle co
	savings

Enterprise Infrastructure Asset Management System (EIAMS) Project Pre-Implementation Phase

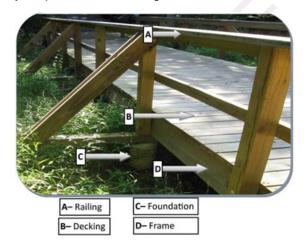
1.1 Bridge (Pedestrian)

1.1.1 Asset Details

The following table provides additional details for the asset.

Asset Description/Definition	Tier 2	Tier 3
A structure constructed to suspend or support a walkway or small vehicle ATV pathway over water bodies, steep ditches, or other obstacles that cannot be easily traversed.	Pedestrian Access	Pedestrian Access Segment
	Trail System	Trail Segment

Key Components to Assess Bridge Condition



- 1. Railing Inspect for wear from ATV and check for rot that may compromise the structural integrity. Inspect attachment of main rail posts to the frame for a loose
- Decking Inspect for loose, rotten, or severely cracked boards.
 Foundation If foundation material consists of wood check for rot which may compromise the structural integrity. Inspect foundation for differential settlement and inclination due to stream overtopping. If foundation material consists of concrete check for spalling, cracks, and rusted or exposed steel.

Enterprise Infrastructure Asset Management System (EIAMS) Project Pre-Implementation Phase

4. Frame - Inspect for loose, rotten, or severely cracked boards.

1.1.2 Condition Assessment Details

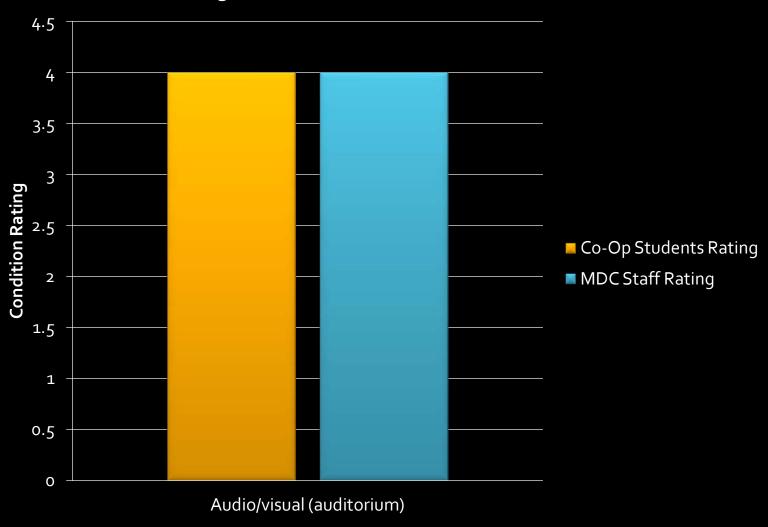
The following table provides details pertaining to the scheduling, preparation, and completion of the asset's condition assessment.

Condition Assessment Approach	Sampling
Sampling Approach Methodology	Beyond X percent of expected life
Number of People Required to	
Complete Assessment	1
Expected Life	20 years
Decay Curve Type	Straight Line
Estimated time for completion	30 minutes
Service Outage Required to	
Complete Assessment	NO
Special Considerations	

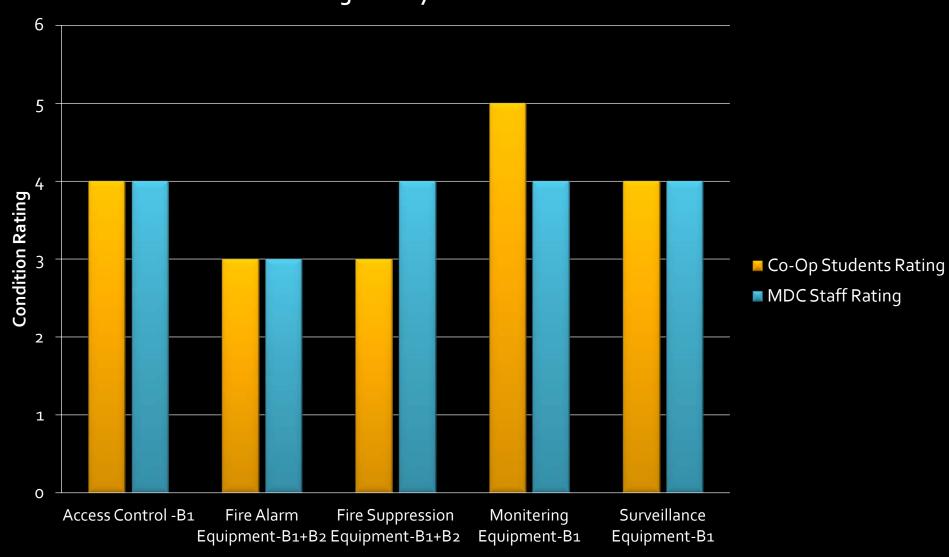
Runge Pre-Pilot Results

Conducted August 2013

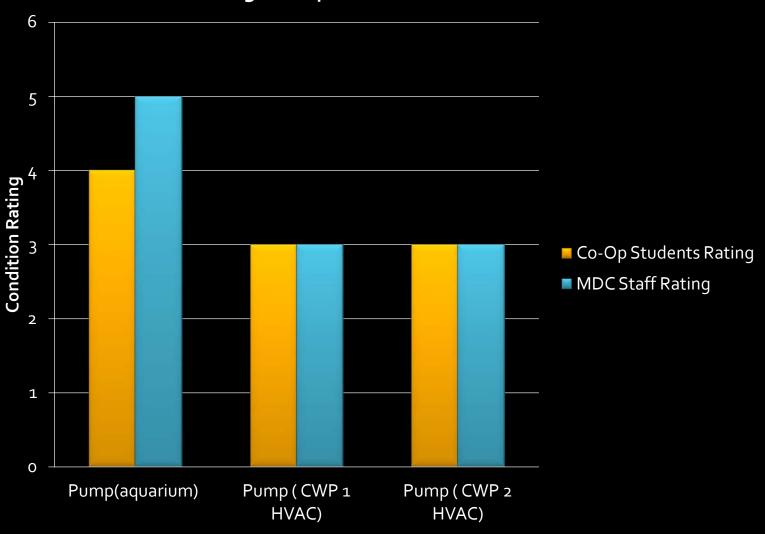
Runge Audio Visual Condition Assessment



Runge Safety Condition Assessment



Runge Pump Condition Assessment



Condition Assessment Summary

- 21 asset conditions tested by both trained teams (Missouri University of Science and Technology COOP Students and MDC Staff)
- 16 assets received the same rating by both teams
- 3 assets received a rating one point lower by the COOP Students
- 2 assets received a rating one point lower by the MDC Staff

Condition Assessment Summary

- 76% of assets received the same ratings
- 24% of assets had ratings one point different
- This proved that a consistent repeatable condition rating system can be implemented to meet the GASB 34 requirement
- Guidelines and training documents were improved based on the results of the Runge Pre-Pilot. This is expected to yield an even better result at the Central Office Pre-Pilot.

Communication & Coordination

To: <Area Manager Name and Title>

From: Jacob Careaga, Design and Development Division Chief Managing Division Chief Name and Title

Date: <6 months before data collection begins at the area>

Subject: EIAMS Data Collection and Condition Assessments at <Area Name>

In accordance with Missouri Revised Statutes Section 29.180, Missouri Department of Conservation must comply with generally accepted accounting principles (GAAP) and, as a public entity, with statements issued by the Governmental Accounting Standards Board (GASB). The GASB Statement 34 requires governmental entities to maintain a comprehensive inventory of infrastructure assets — including establishing targeted condition levels, conducting condition assessments, and funding a maintenance program designed to maintain the adopted condition levels. An annual report is required to demonstrate MDC compliance with the provisions outlined in GASB Statement 34.

Communication & Coordination

	D&D Staff		
Task	Involved	Area Staff Involved	Timeframe
Identify primary site contact for data collection and condition assessment effort		Area Manager	6 months before data collection begins
Provide initial communication to area staff		Area Manager	6 months before data collection begins
Identify area staff to participate in EIAMS Data Collection and Condition Assessment Orientation / Training		• Area Manager	6 months before data collection begins
EIAMS Data Collection and Condition Assessment Orientation / Training	Field Data StewardOffice Data Steward	 Construction Maintenance Supervisor Facility Maintenance 	3 months before data collection begins

32 Proposed Locations for Initial Inventory and Condition Assessment

Proposed				MDC		
Priority	Network	Area Name	County	Region	Division	Area
1	Regional Office	Northwest Regional Office	Buchanan	Northwest	Fisheries	9022
1	Wetland	Grand Pass CA	Saline	Northwest	Wildlife	8010
2	Hatchery	Hunnewell Lake CA (Hatchery)	Shelby	Northeast	Wildlife	5302
2	Regional Office	Northeast Regional Office	Adair	Northeast	D&D	200002
3	Nature (enter	Cape Girardeau Conservation Campus Nature Center	Cape Girardeau	Southeast	O&E	3603
3	Regional Office	Southeast Regional Office	Cape Girardeau	Southeast	PLS	9021
		Henges (Jay) Shooting Range and Outdoor Education Center	St. Louis	St. Louis	O&E	3605
-	Nature Center	Powder Valley CNC	Saint Louis	St. Louis	O&E	8709

Summary

- Budget and staffing projections to level peaks and valleys associated with maintenance requirements and asset failure
- Assurances that we are keeping up with maintenance liability associated with the identified levels of service
- Realize reduction of infrastructure asset maintenance lifecycle costs through more efficient management



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Serving Nature & You